

COVER SHEET

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S.E.C. Registration Number

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C	O	R	P	O	R	A	T	I	O	N															
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(Company's Full Name)

J	O	Y	-	N	O	S	T	A	L	G	,		N	O	.	1	7		A	D	B				
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P	A	S	I	G		C	I	T	Y																
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(Business Address: No. Street/City/Province)

KRISTEL AZUCENA Corporate Planning & Investor Relations Officer																								
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Contact Person

(632) 631-3333 / (632) 638-6888																								
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Company Telephone Number

1	2
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Mont
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3	1
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Day

Fiscal Year

SEC FORM 17C

FORM TYPE

4TH Friday of June																								
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Day

Annual Meeting

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Secondary License Type, If Applicable

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Dept. Requiring this Doc.

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Amended Articles
Number/Section

Total Amount of Borrowings

101 As of 05/31/2021																								
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Total No. of Stockholders

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Domestic

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Foreign

Owning at Least One Board
Lot

To be accomplished by SEC Personnel concerned

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File Number

LCU

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Document I.D.

Cashier

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SECURITIES AND EXCHANGE COMMISSION

**CURRENT REPORT PURSUANT TO SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.29(c)(iii) THEREUNDER**

1. Date of Report (Date of earliest event reported): **June 25, 2021**
2. SEC Identification Number: **A-1997-18963**
3. BIR Tax Identification No. **005-011-651-000**
4. Exact name of issuer as specified in its charter: **ASIA UNITED BANK CORPORATION**
5. Province, country or other jurisdiction of incorporation: **Pasig City, Philippines**
6. Industry Classification Code: (SEC Use Only)
7. Address of principal office/ Postal Code: **Joy-Nostalg Center No. 17 ADB Avenue,
Ortigas Center, Pasig City 1600**
8. Issuer's telephone number, including area code: **(632) 8631-3333 / (632) 8638-6888**
9. Former name or former address, if changed since last report: **Not Applicable**
10. Securities registered pursuant to Sections 8 & 12 of the SRC or Sections 4 and 8 of the RSA:

Title of Each Class	<u>Common Stock</u>
Number of Shares Outstanding	<u>485,310,538</u>
11. Indicate the item numbers reported herein: **Items 4 and 9**

Item 4. Election of Registrant's Directors or Officers and Resignation of Senior Officer

Results of the Annual Stockholders' Meeting

During the said meeting, the persons listed in the table below were elected as members of the Board of Directors of the Corporation for the year 2021-2022 to hold office as such until their successors shall have been duly elected and qualified:

1. Abraham T. Co
2. Ramon Y. Sy
3. Manuel A. Gomez
4. Jacob C. Ng Lily K. Gruba
5. Lily K. Gruba
6. Adolfo S. Azcuna
7. Romero Federico S. Quimbo (Independent Director)
8. Maria Gracia M. Pulido Tan (Independent Director)

Atty. Maria Gracia M. Pulido Tan and Atty. Romero Federico S. Quimbo were elected as the Bank's Independent Directors in accordance with the requirements of the Securities Regulation Code, the Code of Corporate Governance for Listed Corporation, and the Manual of Regulations for Banks.

Results of the Organizational Meeting and Board Meeting

During the meeting of the Bank's Board of Directors held thereafter, the board approved the appointment of Mr. George Chua as adviser to the board effective June 25, 2021. The board also approved the retirement of Senior Vice President / Head of Government and Related Accounts, Account Management Group, Mr. Victorio C. Sison, effective June 30, 2021.

The following persons were elected as officers of the Bank for the year 2021-2022 to serve as such until their successors shall have been duly elected and qualified.

Abraham T. Co	- Chairman
Ramon Y. Sy	- Vice-Chairman
Manuel A. Gomez	- President
Atty. Jason C. Nalupta	- Corporate Secretary
Ann Margaret K. Lorenzo	- Assistant Corporate Secretary
Herminia C. Musico	- Treasurer
Antonio V. Agcaoili, Jr.	- Executive Vice President/Head of Treasury Group
Wilfredo E. Rodriguez, Jr.	- Executive Vice President/Head of Operations & Information Technology Group
Jacob C. Ng	- Executive Vice President/Head of Branch Banking Group and Chief Transformation Officer
Ernesto T. Uy	- Executive Vice President/Account Management Group Head
Catherine C. Uy	- Senior Vice President/Branch Banking Region 1 Head
Amelia S. Sison	- Senior Vice President/Branch Banking Region 2 Head
Willy G. Ng	- Senior Vice President/ Account Management Group – Head Office
Leonides F. Intalan	- Senior Vice President/Head of Consumer Lending Group
Andrew A. Chua	- Senior Vice President/Head of Trust and Investments Group
Maria Teresa C. Ogbinar	- Senior Vice President/Chief Risk Officer/Head of Risk Management Group
Florante C. Del Mundo	- Senior Vice President/ Head of Internal Audit Group
Cynthia P. Santos	- Senior Vice President/Chief Compliance Officer/Head of Compliance Group
Rosario M. Dayrit	- Senior Vice President/Head of Human Resource Group
Lela S. Quijano	- Senior Vice President/Head of Remedial Management Group
Joselito R. Jacob	- Senior Vice President/Foreign Exchange Desk Head of Treasury Group
Amelia S. Yao	- Senior Vice President/Region Head, Account Management Group
Rainer T. Defante	- Senior Vice President/President of Rural Bank of Angeles
Eddie Abel C. Dorotan	- Senior Vice President/Investment Banking Group Head
Soledad O. Reyes	- Senior Vice President/Chief Financial Officer/Financial Control

Group Head

The following directors and officers were likewise elected as members of the different Board committees pursuant to the Bank's By-Laws and Manual of Corporate Governance, to serve as such for the ensuing year until their successors have been duly elected and qualified:

<u>Committee</u>	<u>Members</u>
Executive Committee	Mr. Abraham T. Co – Chairman Mr. Manuel A. Gomez - Member Mr. Ramon Y. Sy – Member Mr. Jacob C. Ng – Member Mr. Ernesto T. Uy – Officer (Non-Voting)
Audit Committee	Atty. Maria Gracia M. Pulido Tan – Chairman / Independent Director Ret. Justice Adolfo S. Azcuna – Member /Non-Executive Director Atty. Romero Federico S. Quimbo –Member/ Independent Director Mr. Florante C. Del Mundo – Officer / (Non-voting)
Risk Oversight Committee	Atty. Romero Federico S. Quimbo – Chairman / Independent Director Ret. Justice Adolfo S. Azcuna - Member / Non-Executive Director Mr. Ramon Y. Sy – Member /NED Atty. Maria Gracia M. Pulido Tan - Member / Independent Director Ms. Maria Teresa C. Ogbinar – Chief Risk Officer (Non-voting)
Corporate Governance Committee	Atty. Romero Federico S. Quimbo – Chairman /Independent Director Ret. Justice Adolfo S. Azcuna –Member Mr. Ramon Y. Sy - Member Atty. Lily K. Gruba - Member Atty. Maria Gracia M. Pulido Tan - Member / Independent Director
Related Party Transactions Committee	Atty. Romero Federico S. Quimbo — Chairman / Independent Director Atty. Maria Gracia M. Pulido Tan – Member / Independent Director Ret. Justice Adolfo S. Azcuna – Member/ Non-Executive Director
Trust Committee	Mr. Ramon Y. Sy - Chairman Mr. Abraham T. Co - Member Atty. Lily K. Gruba - Member Mr. Manuel A. Gomez – Member Atty. Romero Federico S. Quimbo - Member / Independent Director Mr. Andrew A. Chua – Member
Performance Evaluation, Compensation, Remuneration Sub-Committee	Mr. Manuel Gomez – Chairman Ret. Justice Adolfo S. Azcuna – Member / Non-Executive Director Atty. Maria Gracia M. Pulido Tan – Member / Independent Director Ms. Rosario M. Dayrit – Officer (Non-voting)
Nomination Sub Committee	Mr. Manuel A. Gomez – Chairman Ret. Justice Adolfo S. Azcuna – Member /Non-Executive Director Atty. Maria Gracia M. Pulido Tan – Member / Independent Director

Item 9. Other Events/Material Information:

Results of the Annual Stockholders' Meeting

In addition to the election of directors, at today's meeting of the stockholders of the Bank, the shareholders approved the following: 1. Minutes of the special stockholders' meeting held on September 3, 2020; 2. The President's Report on the Bank's operations and results for 2020 together with the Audited Financial Statements and accompanying notes; 3. Ratification of All Acts of the Board and Officers from the date of the last stockholders' meeting up to the present; and 4. Reappointment of Sycip Gorres Velayo & Co. as the Bank's external auditor for the year 2021.

Results of Board Meeting

Upon recommendation of Management, the board approved the declaration of cash dividends amounting to Two Pesos (P2.00) per share which is to be paid in two tranches. Conformably with the rules of the Commission and the Philippine Stock Exchange, the Record Dates and Payment Dates for the two tranches of the following cash dividend declaration shall be as follows:

First Tranche :

Amount of Dividend - P1.00/share

Record date - July 15 (Thursday)

Payment Date - July 30 (Friday)

Second Tranche :

Amount of Dividend – P1.00/share

Record date - October 15 (Friday)

Payment Date - October 29 (Friday)

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ASIA UNITED BANK CORPORATION

(Registrant)

By:



KRISTEL G. AZUCENA

Corporate Planning and Investor Relations
SEC and PSE Compliance Officer / Corporate Information
Officer

Date: 25 June 2021