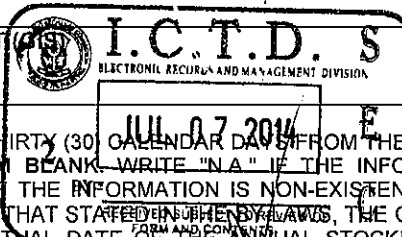


**GENERAL INFORMATION SHEET  
FOR THE YEAR 2014  
STOCK CORPORATION**



**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THESE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <b>ASIA UNITED BANK CORPORATION</b>		DATE REGISTERED: <b>October 03, 1997</b>
BUSINESS/TRADE NAME:		
SEC REGISTRATION NUMBER: <b>A-1997-18963</b>		FISCAL YEAR END: <b>December 31</b>
DATE OF ANNUAL MEETING PER BY-LAWS: <b>Every fourth Friday of May</b>		CORPORATE TAX IDENTIFICATION NUMBER (TIN) <b>005-011-651-000</b>
ACTUAL DATE OF ANNUAL MEETING: <b>June 9, 2014</b>		WEBSITE/URL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: <b>Joy Nostalg Center #17 ADB Avenue, Ortigas Center, Pasig City</b>		E-MAIL ADDRESS: <b>www.aub.com.ph</b>
COMPLETE BUSINESS ADDRESS: <b>Joy Nostalg Center #17 ADB Avenue, Ortigas Center, Pasig City</b>		FAX NUMBER: <b>636-6101</b>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <b>SGV &amp; Co. / Janet A. Paraiso</b>	SEC ACCREDITATION NUMBER (if applicable):	TELEPHONE NUMBER(S): <b>638-6888 / 631-3333</b>
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: <b>Banking.</b>	INDUSTRY CLASSIFICATION:	GEOGRAPHICAL CODE:

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N.A.	N.A.	N.A.
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
Asia United Leasing	A-2000-02343	Joy Nostalg Center #17 ADB Avenue, Ortigas Center, Pasig City
Rural Bank of Angeles Inc.	7493	Miranda cor. Sto Entierro St., Angeles City
Cavite United Rural Bank Corporation	CS201201658	Capital Road, Trece Martires City, Cavite

NOTE: USE ADDITIONAL SHEET IF NECESSARY

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>Corporate Name:</b>	
<b>A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)</b>	
Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
<b>Please check the appropriate box:</b>	
<b>1.</b> <input checked="" type="checkbox"/> a. Banks <input type="checkbox"/> b. Offshore Banking Units <input type="checkbox"/> c. Quasi-Banks <input type="checkbox"/> d. Trust Entities <input type="checkbox"/> e. Non-Stock Savings and Loan Associations <input type="checkbox"/> f. Pawnshop <input type="checkbox"/> g. Foreign Exchange Dealers <input type="checkbox"/> h. Money Changers <input type="checkbox"/> i. Remittance Agents <input type="checkbox"/> j. Electronic Money Issuers <input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliate	<b>4.</b> <input checked="" type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals
<b>2.</b> <input type="checkbox"/> a. Insurance Companies <input type="checkbox"/> b. Insurance Agents <input type="checkbox"/> c. Insurance Brokers <input type="checkbox"/> d. Professional Reinsurers <input type="checkbox"/> e. Reinsurance Brokers <input type="checkbox"/> f. Holding Companies <input type="checkbox"/> g. Holding Company Systems <input type="checkbox"/> h. Pre-need Companies <input type="checkbox"/> i. Mutual Benefit Association <input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	<b>5.</b> Jewelry dealers in precious stones, who, as a business, trade in precious stone
<b>3.</b> <input type="checkbox"/> a. Securities Dealers <input type="checkbox"/> b. Securities Brokers <input type="checkbox"/> c. Securities Salesman <input type="checkbox"/> d. Investment Houses <input type="checkbox"/> e. Investment Agents and Consultants <input type="checkbox"/> f. Trading Advisors <input type="checkbox"/> g. Other entities managing Securities or rendering similar services  <input type="checkbox"/> h. Mutual Funds or Issuers and other similar entities <input type="checkbox"/> i. Close-end Investment Companies <input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities <input type="checkbox"/> k. Transfer Companies and other similar entities <input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based thereon  <input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects <input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	<b>6.</b> Company service providers which, as a business, provide any of the following services to third parties: <input type="checkbox"/> a. acting as a formation agent of juridical persons <input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons <input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement <input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person
	<b>7.</b> Persons who provide any of the following services: <input type="checkbox"/> a. managing of client money, securities or other assets <input type="checkbox"/> b. management of bank, savings or securities accounts <input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies <input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities
	<b>8.</b> <input checked="" type="checkbox"/> None of the above
Describe nature of business:	
<b>B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?</b>	
Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ASIA UNITED BANK CORPORATION							
CAPITAL STRUCTURE AS OF MAY 9, 2014 (RECORD DATE)							
AUTHORIZED CAPITAL STOCK							
	TYPE OF SHARES	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (Php) (No. of shares X Par/Stated Value)		
	Common	500,000,000	10.00		5,000,000,000.00		
TOTAL			TOTAL P		5,000,000,000.00		
SUBSCRIBED CAPITAL							
FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC	PAR/STATED VALUE	AMOUNT (Php)	% of OWNERSHIP
	95	Common	279,494,647	65,410,976	10.00	2,794,946,470.00	86.39%
TOTAL				TOTAL	TOTAL P	2,794,946,470.00	86.39%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK HOLDERS	TYPE OF SHARES	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC	PAR/STATED VALUE	AMOUNT (Php)	% OF OWNERSHIP
Various	5	Common	44,045,713	44,045,713	10.00	440,457,130.00	13.61%
Percentage of Foreign Equity: TOTAL				TOTAL	TOTAL P	440,457,130.00	13.61%
					TOTAL SUBSCRIBED P	3,235,403,600.00	100.00%
PAID-UP CAPITAL							
FILIPINO	NO. OF STOCK HOLDERS	TYPE OF SHARES	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (Php)	% OF OWNERSHIP
	95	Common	279,494,647	10.00		2,794,946,470.00	86.39%
TOTAL				TOTAL		2,794,946,470.00	86.39%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK HOLDERS	TYPE OF SHARES	NUMBER OF SHARES	PAR/STATED VALUE		AMOUNT (Php)	% OF OWNERSHIP
Various	5	Common	44,045,713	10.00		440,457,130.00	13.61%
TOTAL				TOTAL		440,457,130.00	13.61%
					TOTAL PAID-UP P	3,235,403,600.00	100.00%

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

**GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ASIA UNITED BANK CORPORATION							
DIRECTORS/OFFICERS							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R.	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
1. Jacinto L. Ng, Sr. Filipino #7 Magnolia St., Wack Wack Village Mandaluyong City	Y	C	M	Y	Chairman/ Director	Y	110-393-137
2. Jonathan C. Ng Filipino #7 Magnolia St., Wack Wack Village Mandaluyong City	N	M	M	Y	Treasurer/ Director	Y	156-959-344
3. Adolfo S. Azcuna Filipino #140 CRM Avenue BF Homes Almazan, Las Piñas City	Y	I	M	Y	Independent Director	N	218-770-608
4. Abraham T. Co Filipino #7 Francisco St., Corinthian Gardens, Quezon City	N	M	M	Y	President/ Director	Y	106-571-741
5. George T. Chua Filipino #25 Kitanlad St., Quezon City	N	M	M	Y	Director	N	124-488-996
6. Lily K. Gruba Filipino 27 <sup>th</sup> Floor, 88 Corporate Ctr. Sedeno cor. Valero Sts., Salcedo Vill., Makati City	N	M	F	Y	Director	N	120-155-181
7. Ramon Y. Sy* Filipino #303 Chico Drive, Ayala Alabang Village, Muntinlupa City	N	M	M	Y	Vice- Chairman/ Director	N	107-202-849
8. Benjamin E. Diokno Filipino #3 Purok Aguinaldo, UP Campus, Diliman, Quezon City	N	I	M	Y	Independent Director	N	110-841-046
9. Atty. A. Bayani K. Tan** Filipino 57 Athena Loop, Palladium	N	M	M	Y	Director/ Corporate Secretary	N	102-054-041
10. Atty. Ria Carmela R. Cruz Filipino 34 Marilag Street, UP Village, Quezon City	N	N	F	N	Assistant Corporate Secretary	N	228-227-614
<p>*A Request for Confirmation of the status of Mr. Ramon Y. Sy as Independent Director dated 20 March 2014 is pending before the Bangko Sentral ng Pilipinas.</p> <p>**Subject to approval by the BSP, in view of Atty. Tan's election in Sterling Bank of Asia, Inc. (A Savings Bank) as Director (upon approval by the SEC of its increase in the number of Directors from 11 to 15) and Corporate Secretary.</p>							
<p>INSTRUCTIONS:</p> <p>FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.</p> <p>FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.</p> <p>FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.</p> <p>FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.</p> <p>FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.</p> <p>FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.</p>							

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ASIA UNITED BANK CORPORATION							
DIRECTORS/OFFICERS							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R.	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COM. M.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
11. Isabelita M. Papa Filipino 608 Sto. Nino St., Mandaluyong	N	N	F	Y	EVP/ Head of Operations	N	122410532
12. Manuel A. Gomez Filipino #54 Lopez Jaena St., Ayala Heights, Quezon City	N	N	M	Y	EVP/ Head of Branch Banking	N	107171057
13. Antonio V. Agcaoili, Jr. Filipino #15 Istanbul St., Merville Park, Paranaque	N	N	M	Y	EVP/ Head of Treasury	N	107169893
14. Sandy W. Tan Filipino #19 PV Kalaw St., Tierra Pura Homes, Tandang Sora, QC	N	N	M	Y	SVP/ Branch Banking Area 1 Head	N	110692465
15. Jorge S. Payawal Filipino #25 B. Soliven 2 Avenue, Loyola Grand Villas, Loyola Heights, QC	N	N	M	Y	SVP/ Chief Compliance Officer	N	138198157
16. Eduardo I. Conde Filipino #10 Topside St., Alta Vista Village, Katipunan, QC	N	N	M	Y	SVP/ Head of Consumer Lending	N	110108022
17. Vicente Julian A. Sarza Filipino #164 Champaca St., Tahanan Village, Parañaque City	N	N	M	Y	SVP/ Head of Account Mgmt. Group	N	107201929
18. Herminia C. Musico Filipino #27 New Zealand St., Better Living Subd. Paranaque	N	N	F	Y	SVP/ Chief Financial Officer	N	106-463-356
19. Willy G. Ng Filipino 45 SMC Court, Celery Drive, Valle Verde 5, Pasig City	N	N	M	Y	SVP/ Head of Institutional Banking Group 1	N	100928262
20. Rosendo G. Sia Filipino Lot 1A Block 3 Cairo St. corner Multinational Avenue, Multinational Village, Parañaque City	N	N	M	Y	SVP/ VISMEN Region Head	N	161061934
INSTRUCTIONS:							
FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.							

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ASIA UNITED BANK CORPORATION							
DIRECTORS/OFFICERS							
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R.	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COM M.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
21. Florante C. Del Mundo Filipino #3 Berbena St., Tahanan Village, Paranaque	N	N	M	Y	SVP/ Head of Internal Audit	N	132079800
22. Rosario M. Dayrit Filipino 20B T1 Forbeswood Parklane, Bonifacio Global City, Taguig	N	N	F	Y	SVP/ Head of Human Resource	N	107187878
23. Andrew A. Chua Filipino Unit D26 Casa Verde, Rodriguez Lanuza St., Brgy. Ugong, Pasig City	N	N	M	Y	SVP/ Head of Trust and Investments	N	135545169
24. Wilfredo E. Rodriguez, Jr. Filipino #11 Bunker Hill St., New Manila, QC	N	N	M	Y	SVP/ Head of Information Technology	N	159144753
25. Catherine C. Uy Filipino #1408 Mayhaligue corner Masangkay St., Sta. Cruz, Manila	N	N	F	Y	SVP/ Branch Banking Area 4 Head	N	109212243
26. Ronald Joseph Fernandez Filipino #567 Tanglaw St., Mandaluyong City	N	N	M	Y	SVP	N	110826798
27. Rustico C. Nazareno, Jr. Filipino #35 Jefferson St., Greenhills West, San Juan, Metro Manila	N	N	M	Y	SVP	N	107168750
INSTRUCTIONS:							
<p>FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.</p> <p>FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.</p> <p>FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.</p> <p>FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.</p> <p>FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.</p> <p>FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.</p>							

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ASIA UNITED BANK CORPORATION						
TOTAL NUMBER OF STOCKHOLDERS: 100			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 91			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: P105,045,933,453						
STOCKHOLDER'S INFORMATION AS OF RECORD DATE (MAY 9, 2014)						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			% OF OWNER SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)			
1. Republic Biscuit Corp. Filipino #58 Gen. Luis St., Novaliches, Quezon City	Common	105,599,690	1,055,996,900.00	32.64%	1,055,996,900.00	000-366-171
	TOTAL					
2. PCD Nominee Corporation-Filipino	Common	65,529,587	655,295,870.00	20.25%	655,295,870.00	004-774-849- 0000-NV
	TOTAL					
3. Kuo Yu Holdings Filipino Joy-Nostalq Center, 17 ADB Avenue, Ortigas Centre, Pasig City	Common	60,000,000	600,000,000.00	18.54%	600,000,000.00	005-695-000
	TOTAL					
4. Lambda Holdings Corp. Filipino G/F Cedar Mansion II St. J. Escriva Dr., Ortigas Center, Pasig City	Common	47,999,900	479,999,000.00	14.84%	479,999,000.00	005-010-400
	TOTAL					
5. PCD Nominee Corporation- Non Filipino	Common	43,916,223	439,162,230.00	13.57%	439,162,230.00	004-774-849- 000-NV
	TOTAL					
6. Lin Pei Zhi Chinese No.2 Planters Street Rincon, Valenzuela City	Common	100,000	1,000,000.00	0.03%	1,000,000.00	212-490-659
	TOTAL					
7. James G. Dy Filipino 36 Xavier St. Greenhills West Subd. San Juan City	Common	82,000	820,000.00	0.03%	820,000.00	105-850-498
	TOTAL					
8. Eliot C. Maguan Filipino 607 Barcelona St., San Juan, M.M.	Common	52,750	527,500.00	0.02%	527,500.00	106-329-634
	TOTAL					
9. Salvador Tan Uy Filipino Penthouse, Taipan Place, F. Ortigas JR. Road Ortigas Center, Pasig	Common	50,000	500,000.00	0.02%	500,000.00	141-822-537
	TOTAL					
10. Alfonso S. Teh Filipino 14 Scout Madrinan St., South Triangle Quezon City	Common	21,200	212,000.00	0.01%	212,000.00	111-389-285
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL					(Next Page please)	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities.  
Attach separate sheet, if necessary.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ASIA UNITED BANK CORPORATION						
TOTAL NUMBER OF STOCKHOLDERS			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			% OF OWNER SHIP	AMOUNT PAID (Php)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS AND FOREIGNERS
	TYPE	NUMBER	AMOUNT (Php)			
11. Lamberto C. Dizon Filipino 1 Parakeet St., Greenmeadows Subd., Quezon City 1910	Common	20,000	200,000.00	0.01%	200,000.00	133-326-473
	TOTAL					
12. Chap Hung Yuen Foreign 5 Damar Loop St. Damar Village QC	Common	20,000	200,000.00	0.01%	200,000.00	116-118-622
	TOTAL					
13. Virginia A. Quianzon Filipino 29 Dexter St., Proj. 8, Quezon City	Common	10,520	105,200.00	0.00%	105,200.00	110-393-186
	TOTAL					
14. Ainsley James Ayroso Fu Filipino 7 Recoletos Circle, Urdaneta Vill. Makati City	Common	10,000	100,000.00	0.00%	100,000.00	214-206-384
15. Jack D. Tan Filipino 353 T. Yuchengco St., Binondo, Manila	Common	10,000	100,000.00	0.00%	100,000.00	101-306-974
16. Rufino L. Co Or Lucita Y. Co Filipino 193 Jasmin St. De Castro Subd., Paso De Blas, Valenzuela City 1442	Common	10,000	100,000.00	0.00%	100,000.00	164-349-517
	TOTAL					
17. Quality Investments & Securities Filipino Suite 1602, 16F Tytana Plaza, Oriente St., Binondo, Manila 1006	Common	10,000	100,000.00	0.00%	100,000.00	000-333-614
	TOTAL					
18. Joaquin Q. Tan c/o Glowing Motor Parts Corp. Filipino R. Magsaysay Ave., Davao City 8000	Common	10,000	100,000.00	0.00%	100,000.00	108-624-773
	TOTAL					
19. William T. Co Filipino 24 St. Andrew St6. Scheva Mindanao Ave Quezon City	Common	10,000	100,000.00	0.00%	100,000.00	103-311-121
	TOTAL					
	TOTAL					
TOTAL AMOUNT OF SUBSCRIBED CAPITAL					(Next Page please)	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities.

Attach separate sheet, if necessary.



## STOCK CORPORATION

CORPORATE NAME: ASIA UNITED BANK CORPORATION

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

## STOCKHOLDER'S INFORMATION

**INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS**

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities.

Attach separate sheet, if necessary.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <b>ASIA UNITED BANK CORPORATION</b>			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS		651,251,340	1999, 2000, 2009, 2011
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)		10,855,359,749	N.A.
1.3 LOANS/ CREDITS / ADVANCES		Please refer to Note 9 of 2013 AFS	N.A.
1.4 GOVERNMENT TREASURY BILLS		16,775,349,063	N.A.
1.5 OTHERS		N.A.	N.A.
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY : ) N.A.		DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
3. TREASURY SHARES N.A		NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
4. UNRESTRICTED /UNAPROPRIATED RETAINED EARNINGS AS OF END OF LAST CALENDAR YEAR P9,909,649,311.00 (Parent company's surplus as of 2013)			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: None			

TYPE OF DIVIDEND		AMOUNT (PhP)	DATE DECLARED
5.1 CASH		N.A.	N.A.
5.2 STOCK		N.A.	N.A.
5.3 PROPERTY		N.A.	N.A.
TOTAL		P	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT (PhP)	
February 22, 2013 (Approval of Board and stockholders)	216,000,000 (as a result of 10:1 stock split)	NA	
May 17, 2013 (IPO; listing and trading of shares at PSE)	83,540,360	835,403,600.00	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T. AGENCY:			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	(1) Government Securities Eligible Dealer (with Broker/Dealer of securities functions) (2) Permit to Offer Securities for Sale	Authority to Operate as a Universal Bank	N.A.
DATE ISSUED:	(1) February 26, 2013 (2) May 6, 2013	25 October 2013	
DATE STARTED OPERATIONS:	1997		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS (Parent)	TOTAL NO. OF RANK & FILE EMPLOYEES (Parent)	TOTAL MANPOWER COMPLEMENT(Parent)
3,660,000.00	795	804	1,599

NOTE: USE ADDITIONAL SHEET IF NECESSARY


I, ATTY. RIA CARMELA R. CRUZ, ASSISTANT CORPORATE SECRETARY OF THE ABOVE-MENTIONED CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF NINE (9) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS JULY 07 2014 IN PASIG CITY.

  
RIA CARMELA R. CRUZ  
(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN PASIG CITY, PHILIPPINES ON JUL 07 2014 AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HER COMMUNITY TAX CERTIFICATE NO. 02263226 ISSUED ON 12 FEBRUARY 2014 IN MAKATI CITY AND TAXPAYER IDENTIFICATION NO. 228-227-614-000.

  
**ROBIN BRYAN F CONCEPCION**  
Notary Public, for  
Pasig City, Sangay & Pateros  
Appointed (2013-2014)  
Commission Expires December 31 2014  
2704 East Tower, 1st Floor, Exchange Road  
Ortigas Center, 4005 Pasig City  
PTR No. 944371-1-02 2014 / Pasig City  
IBP No. 945760 / 12,27,2013 Cavite  
Roll No. 62424

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PAGE NO. : 105  
BOOK NO. : 1  
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